

In re application of: Ratnaparkhi, A.

Serial No.: 09/734,154

2641 2600

Filed: December 11, 2000

For: TRAINABLE DYNAMIC PHRASE REORDERING FOR NATURAL LANGUAGE GENERATION IN CONVERSATIONAL SYSTEMS

Assistant Commissioner for Patents  
Washington, D.C. 20231



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AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application. Technology Center 2600

[ ] Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.

[ ] A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.

[X] No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN SMALL ENTITY
CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	ADDIT. RATE FEE	ADDIT. RATE FEE
TOTAL	20* MINUS	20**	= 0	X 9 \$	X 18 \$ 0
INDEP.	2* MINUS	3***	= 0	X 40 \$	X 80 \$ 0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				X 135 \$	X 270 \$ 0
				TOTAL	OR TOTAL \$ 0

ADDIT. FEE \$ 0

- \* If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.  
 \*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter 20".  
 \*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".  
 The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

[ ] Please charge Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$\_\_\_\_. Two (2) copies of this sheet are enclosed.

[ ] A check in the amount of \$\_\_\_\_ is enclosed.

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

F. CHAU & ASSOCIATES, LLP  
1900 Hempstead Turnpike  
Suite 501  
East Meadow, NY 11554  
(516) 357-0091

Respectfully submitted,

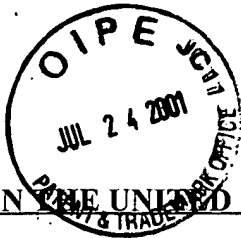
Susan Paik, Esq.  
Reg. No. 46,347  
Attorney for Applicant

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231 on July 20, 2001.

Dated: 7/20/01

Susan Paik, Esq.



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(s): Ratnaparkhi, A.

SERIAL NO: 09/734,154

FILED: December 11, 2000

DOCKET: YOR9-2000-0468US1  
(8728-414)

FOR: **TRAINABLE DYNAMIC PHRASE REORDERING FOR  
NATURAL LANGUAGE GENERATION IN CONVERSATIONAL  
SYSTEMS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Please amend the above-identified application as follows:

IN THE SPECIFICATION:

After the Title on page 1 of the specification, please insert the following paragraph:

AI The U.S. Government has a paid-up license in this invention and the right in limited circumstances to require the patent owner to license others on reasonable terms as provided for by the terms of Government Contract Number MDA972-97-C-0012.

CERTIFICATE OF MAILING 37 C.F.R. §1.8(a)

I hereby certify that this correspondence (and any document referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail, postage paid in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on July 20, 2001.

Dated: 7/20/01

  
Susan Paik